



Draft Concept Note

2nd Asia-Pacific Anticorruption and Integrity Forum

Delivering Development with Integrity

27-29 August 2024, Xiamen, China

Jointly organized by ADB, XNAI, MCDF, CHINCA and
Secretariat of Maritime Silk Road Central Legal District

Background and Overview:

On 29-31 August 2023, the Xiamen National Accounting Institute (XNAI) and the Asian Development Bank (ADB) organized the *Asia and the Pacific Anticorruption and Integrity Forum* (the Forum) in Xiamen, People's Republic of China (PRC). The Forum's objective was to raise awareness among PRC contracting entities and government bodies of the importance of sound integrity risk management practices and adherence to ADB standards in public procurement, asset and financial management, and environmental and social safeguards, for successful participation and delivery of outputs in ADB projects.

The Forum was attended by 254 participants with senior representation from PRC companies, XNAI, ADB, and the Asian Infrastructure and Investment Bank (AIIB). The event was extremely positively received with 98% of participants stating that they would immediately apply lessons learned from the Forum, and 99% of participants stating they would recommend the Forum to others. The event demonstrated how MDBs and PRC companies could mutually learn from shared experiences to further knowledge and understanding on anticorruption and integrity issues. Participants expressed their wish for further and deeper discussion, specifically in relation to anticorruption and integrity-related topics such as compliance practices, additional case studies, and the MDB sanctions system.

The Multilateral Cooperation Center for Development Finance (MCDF) has also built up experience in promoting the integrity practices of Multilateral Development Banks (MDBs) to infrastructure contractors and financiers. Together with its MDB partners and the relevant contractors associations, it is running a series of workshops on MDB procurement and financing opportunities and policies that has so far covered China (November 2022) and the Middle East and North Africa (May 2024), and includes sessions on MDB requirements on anticorruption and integrity. MCDF has also organized training for financiers on how to manage corruption risks in infrastructure projects.

Building on the success of last year's Forum, XNAI, ADB, MCDF and the China International Contractors Association (CHINCA) propose to hold a follow up event in 2024 to deepen knowledge on anticorruption and integrity compliance programs, expand the audience to cover contractors from the Asia-Pacific region, and include additional MDBs to provide a broader base of knowledge.

Objectives:

To provide a unique opportunity for infrastructure contractors and other actors in the Asia-Pacific region to better manage integrity risks in infrastructure projects and build sound relationships with the world's top MDBs, through the following:

- Presentations on key developments on integrity issues on the first day by leading MDBs, governments and businesses in the Asia Pacific region and beyond.
- Deepened understanding of MDB and other international requirements and guidelines for managing integrity risks through two days of practical workshops including the use of real-world and/or hypothetical case studies.
- Updates on MDB procurement policies.
- Bilateral meetings with MDBs (with interpreters if needed) on request, to follow-up on specific issues.
- Excellent networking opportunities.

Key Topics:

- How to prevent being sanctioned by MDBs through the use of anticorruption and business integrity compliance programs.
- ISO 37001 on Anti-bribery Management Systems and related Guidance
- Managing conflicts of interests: risks and mitigants
- Due Diligence of business partners and third parties
- Building an effective detection system and responses to compliance incidents
- Tone from the top and compliance program management
- Challenges in building an effective anticorruption program
- Recent developments in MDB procurement policies e.g. 'sustainable procurement'

Target Audience:

- Senior management and legal and compliance professionals from companies in the Asia-Pacific region who participate in, or are interested in participating in, MDB-financed projects
- Government bodies and international institutions involved in the development, promotion and dissemination of anticorruption and integrity compliance standards for infrastructure projects
- Scholars and other experts

Language:

Speaker presentations will be in English and Chinese, with simultaneous translation provided in both languages.

Format:

The Forum consists of three parts:

1. High-Level Forum (27 August):

Speeches and panel discussions featuring senior government officials and representatives from MDBs, the private sector, and academia, with a focus on key trends and experiences related to combating corruption in infrastructure projects.

2. Capacity Building Workshops (28-29 August):

To increase discussion, interaction and participation, these will employ a mix of:

- Panel sessions focused on sharing knowledge. They should generate discussion among panellists, create opportunities for audience participation, and provide sufficient time for questions from the audience.
- Workshops sessions provide participants with “hands-on” experience in identifying, managing and mitigating corruption and integrity risks employ real-world case studies. Workshops would be led by a facilitator(s), but would not have extensive slide presentations.

3. Bilateral Meeting Service:

Any company or government attending the Forum is welcome to reserve a 20-minute bilateral meeting opportunity with the following participating MDBs: AfDB, ADB, AIIB, NDB and the World Bank. Interpretation services are also available on request.

The event will be both physical and virtual (hybrid) with the following benefits:

- ✓ Ease of interaction in Q&A sessions
- ✓ Ability to interact informally during coffee breaks and meals
- ✓ Additional benefits of a virtual meeting in allowing people to attend from outside China, and for some speakers to be able to dial in (although the majority of speakers to be present physically)

Participants Interaction: in addition to the bilateral meeting service, this will be promoted through the following:

- Participants asked to flag key questions upon registration;
- App to be used during the workshop for participants to respond anonymously to questions from the presenters;
- Participants seated around tables to facilitate group discussions;
- Q&A at the end of each presentation.

Post-workshop Support: The following materials will be provided to participants through MCDF’s JIGSAW digital platform: session videos; session presentations; and reference materials. All participants will be invited to join JIGSAW to continue the discussion and for peer-to-peer learning. This can be through participants posting comments and questions on the materials, and experts and participants uploading their relevant materials on JIGSAW.

Feedback and Evaluation: A feedback form will be sent to all participants to assess their satisfaction with the Workshop and seek their suggestions on how to improve it.

Organizers: ADB, XNAI, MCDF, CHINCA and Secretariat of Maritime Silk Road Central Legal District.

Other MDBs to be invited: AfDB, AIIB, NDB, WB.

Draft Agenda

27 August:

Activity 1: High-Level Forum on Asia-Pacific Anticorruption and Integrity

28-29 August:

Activity 2: Capacity Building Workshop on Anticorruption and Integrity

Activity 1: High-Level Forum on Asia-Pacific Anticorruption and Integrity

Time	Agenda	Speaker
Monday, 26 August 2024		
08:30-24:00	Delegates check-in	
Tuesday, 27 August 2024		
09:00-09:45	Opening Session & Keynote Speech	Zhongjing Wang, CEO, Multilateral Cooperation Center for Development Finance (MCDF) Roberta Casali, Vice-President for Finance and Risk Management, Asian Development Bank (ADB) Government of China Official/XNAI Representative TBD, CHINCA
09:45-10:00	Group Photo and Coffee Break	
10:00-11:00	Panel Discussion 1 Key Developments in MDB Anticorruption and Integrity Policies and Why They Matter for the Asia-Pacific	John Versantvoort, Head, Office of Anticorruption and Integrity, ADB Mouhamadou Diagne, Vice President of Integrity, World Bank Marvin Taylor-Dormand, Managing Director, Complaints-Resolution, Evaluation, and Integrity Unit, Asian Infrastructure Investment Bank (AIIB) Paula Santos-Da Costa, Director, Office of Integrity and Anticorruption, African Development Bank (AfDB)
11:00-11:15	Question & Answer (Q&A)	
11:15-12:15	Panel Discussion 2 Anticorruption and Integrity Trends and Development in the Asia-Pacific and Beyond	Officials and business representatives from other countries and international organizations Moderator: Hamish Goldie-Scot, MCDF
12:15-13:45	Lunch Break	
13:45-14:45	Panel Discussion 3 Effective Experience on Anticorruption and Integrity	Representatives from relevant departments of the Chinese government (e.g., the CCDI of the CPC, CMOF, SASAC of China)
14:45-15:45	Panel Discussion 4 Practical Experience on Anticorruption and Integrity in the Asia-Pacific Among Contractors and Companies	Representatives of Chinese Enterprises “going global”
15:45-16:00	Q&A	

Time	Agenda	Speaker
16:00-16:15	Coffee Break	
16:15-17:15	Panel Discussion 5 Local Characteristics of Anticorruption and Integrity (Theoretical Perspective)	Representatives of academic institutions
17:30-19:30	Welcome Dinner	

Activity 2: Capacity Building Workshops on Anticorruption and Integrity

Time	Agenda	Speaker
Wednesday, 28 August 2024		
09:00-09:10	Opening Remarks <ul style="list-style-type: none"> The importance of business integrity MDB expectations of the highest ethical standards 	Chiawen Kiew, Advisor, Office of Anticorruption and Integrity, ADB
9:10-10:30	Panel 1: Harmonized Principles for Business Integrity and Procurement <ul style="list-style-type: none"> What are the expectations for business integrity? How are the principles applied in enforcement/reinstatement? How can these principles assist you in bidding for contracts? What are the differences in MDBs' approaches? 	Representatives from <ul style="list-style-type: none"> World Bank AfDB Company Representatives
10:30-11:00	Coffee Break	
11:10-12:30	Workshop 1: Elements of Business Integrity Compliance Program <ul style="list-style-type: none"> Case studies of business integrity compliance programs Group discussion of related issues, approaches, and solutions 	Moderated by: <ul style="list-style-type: none"> Chiawen Kiew, Advisor, Office of Anticorruption and Integrity, ADB AIIB World Bank
12:30-13:30	Lunch Break	
13:30-15:00	Workshop 2: Top Tips for Implementing Compliance Programs <ul style="list-style-type: none"> Case studies of compliance programs implementation challenges Group discussion of related issues, approaches, and solutions 	Moderated by: <ul style="list-style-type: none"> World Bank Company Representative
15:00-15:30	Coffee Break	

Time	Agenda	Speaker
15:30-17:00	Panel 2: Introduction to the ISO 37001 Standards <ul style="list-style-type: none"> What is ISO37001 and what are its requirements? How can ISO37001 help with MDB procurement success? How have companies in the Asia-Pacific approached ISO37001 adoption? 	Moderated by: <ul style="list-style-type: none"> Hamish Goldie-Scot, MCDF Jean-Pierre Mean, ISO 37001 Expert (virtual) Representative of company who has adopted ISO 37001
17:00-17:30	Day 1 Key Takeaways and Closing Remarks	Representatives from <ul style="list-style-type: none"> Strahan Spencer, Head, Platform Team, MCDF AfDB

Time	Agenda	Speaker
Thursday, 29 August 2024		
9:00-9:10	Opening Session <ul style="list-style-type: none"> Welcome Remarks Day 2 Overview 	Representatives from <ul style="list-style-type: none"> World Bank AIIB
9:10-10:30	Panel 3: Updates to MDB Procurement Policies <ul style="list-style-type: none"> Developments in MDB procurement policies Experience of contractors in bidding for MDB procurements Common integrity pitfalls companies make in bidding How integrity controls can help avoid misunderstanding 	Representatives from <ul style="list-style-type: none"> World Bank AIIB Company Representative
10:30-11:00	Coffee Break	
11:00-12:00	Panel 4: Conflicts of Interest <ul style="list-style-type: none"> What is a conflict of interest and why it matters How do conflict of interests appear in procurement? How to manage conflicts of interest 	Representatives from <ul style="list-style-type: none"> MCDF AIIB Company Representative
12:00-13:00	Lunch Break	
13:00-14:30	Workshop 3: Case Studies on Conflicts of Interest <ul style="list-style-type: none"> Case studies of conflicts of interests in procurement 	Representatives from <ul style="list-style-type: none"> MCDF AIIB Company Representative

Time	Agenda	Speaker
	<ul style="list-style-type: none"> Group discussion of issues, approaches, and solutions 	
14:30-15:30	Panel 5: The Importance of Due Diligence <ul style="list-style-type: none"> Reputational and legal risks from business partners How can failure to conduct due diligence affect your procurement bid? How to conduct due diligence on business partners 	Representatives from <ul style="list-style-type: none"> World Bank NDB
15:30-16:00	Coffee Break	
16:00-17:20	Workshop 4: Case Studies on Due Diligence of Business Partners <ul style="list-style-type: none"> Case studies of due diligence issues arising in procurement Group discussion of related issues, approaches, and solutions 	Moderated by: <ul style="list-style-type: none"> AfDB AIIB
17:20-17:45	Closing Remarks	Representatives from <ul style="list-style-type: none"> World Bank China Contractors' Association ADB